



# Gait Sequence Upsampling using Diffusion Models for Single LiDAR sensors

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# **Introduction / Gait Recognition**

- Biometric technology that identifies people based on their walking patterns
- **Operate from a distance** without user's cooperation or physical contact



Measurement of pedestrian data using a visual device

ID matching with the database

Person identification based on gait analysis [Fan+, CVPR'23]

# **Introduction / 3D LiDAR**

• Visualization comparison:

### **RGB** camera



### → Well-suited for outdoor criminal investigations or security systems!

# **Introduction / Motivation**

• Changes in **resolution/sparsity** based on **distances** 



RGB camera (reference)



# Introduction / Motivation

• Changes in resolution/sparsity based on LiDAR sensor's emitting pattern (specification)



# Introduction / Motivation

- Challenges:
  - Sparsity of LiDAR data is heavily influenced by measurement distance and har dware specifications
  - Collecting datasets for all **distances** and **sensor types** is practically impossible

→ Necessary to reconstruct the underlying/complete pedestrian shapes from sparse data!

# **Introduction / Goal**

- Goals:
  - Develope a gait sequence upsampling model for sparse pedestrian data
  - Enhance the generalization capability of existing/future identification models
- Approches:
  - Employ a video-based diffusion model
  - Utilize a distance-independent inpainting strategy



### **Related Work**

• Typical signal/image restoration:

### Task-agnostic approaches



#### Chung+, ICLR'23

- Learn the underlying data distribution using Bayes' rule
- Tend to worse than task-specific approaches





Saharia+, CVPR'22

- Conditional diffusion strategy
- Achieves superior performance across various multi-tasks



• Overview



## Method / Problem Statement

• In orthographic projection, missing points in gait shapes can be addressed as distance-independent inpainting problem

Degradation noise mask Incomplete gait video  $\mathbf{y} = H\mathbf{x}_0 + \mathbf{z}$  Gaussian noise Complete gait video

#### Spherical projection







# **Method / Projection**

- Transform a raw pedestrian point cloud sequenc e  $\mathbf{P} \in \mathbb{R}^{F \times N \times C}$  into a depth video  $\mathbf{y} \in \mathbb{R}^{F \times 1 \times H \times W}$  from the **sensor-view**
- Obtain the rotated point cloud sequence  $\widehat{\mathbf{P}} \in \mathbb{R}^{F \times N \times C}$  with a directional angle  $\theta_{\text{sensor},f}$ :
  - $\theta_{\text{sensor},f} = \arctan(c_{f,y}, c_{f,x})$
  - $\widehat{\mathbf{p}}_{f,n} = (\mathbf{P}_{f,n} \mathbf{c}_f) \cdot \mathbf{R}_z(\theta_{\text{sensor},f} + \pi)$
- Project  $\widehat{\mathbf{P}}$  onto the xz-plane



# Method / Network

• Overall of the upsampling pipline



- Extended from Palette [Saharia+, CVPR'22]
- Initialization:  $\mathbf{z}_t \leftarrow \mathbf{m} \odot \mathbf{y} + (\mathbf{1} \mathbf{m}) \odot \mathbf{z}_t$
- Loss function:  $\mathcal{L}_{T \to \infty} = \mathbb{E}_{\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{1}), t \sim \mathcal{U}(0, 1)} [\|\hat{\epsilon}(\operatorname{concat}(\mathbf{y}, \mathbf{z}_t); \lambda_t) \epsilon\|_2^2]$

## **Experiments / Implementation Details**

• Dataset comparison

### SUSTeck1K [Shen+, CVPR'23]



#### For generalization evaluation

### Dataset used in Part I (2/2)





#### For practicality evaluation

| Datasets       | Sensors | Beams | V/H Resolutions            | Subjects  | Views | Distances          |
|----------------|---------|-------|----------------------------|-----------|-------|--------------------|
| SUSTeck1K [56] | VLS-128 | 128   | $0.11^{\circ}/0.1^{\circ}$ | $1,\!050$ | 12    | $7.5 \mathrm{m}$   |
| Ours $[2]$     | VLP-32C | 32    | $1.33^{\circ}/0.1^{\circ}$ | 30        | 8     | $10,20~\mathrm{m}$ |

## **Experiments / Implementation Details**

• Noise masks used for training and testing in the generalization evaluation



 Simulate noise in the azimuth based on captured distances  Represent the beam-level noise at the elevation of the LiDAR sensors

## **Experiments / Implementation Details**

- SUSTeck1K dataset contains 1,050 subjects
  - Training set : **250 subjects**
  - Test set: remaining 800 subjects
- Learning settings:
  - Learning rate: 0.0003
  - input sequence length: 10 frames
  - Timesteps: 32
- Identifier (for the recognition task): LidarGait [Shen+, CVPR'23]
  - trained on the **clean training set** of SUSTeck1K

- Quantitative results:
  - Our model is Superior to all linear interpolations and vanilla Palette across three metrics

|               |                       |                | Means (Test set)                                |                 |                          |   |                 |                          |   |                 |                          |
|---------------|-----------------------|----------------|---|-----------------|--------------------------|---|-----------------|--------------------------|---|-----------------|--------------------------|
| Upsampling    |                       |                | $\mathbf{V} \times 1/2,  \mathbf{P} \times 1/6$ |                 |                          | $\mathbf{V} \times 2/3,  \mathbf{P} \times 2/6$ |                 |                          | $\mathbf{V} \times 3/4,  \mathbf{P} \times 3/6$ |                 |                          |
| Approach      | Method                | Input Modality | $\rm PSNR\uparrow$                              | SSIM $\uparrow$ | Consistency $\downarrow$ | $\mathrm{PSNR}\uparrow$                         | SSIM $\uparrow$ | Consistency $\downarrow$ | $\mathrm{PSNR}\uparrow$                         | SSIM $\uparrow$ | Consistency $\downarrow$ |
| Interpolation | Nearest-neighbor      | Depth Image    | 6.90  | 0.031           | 0.041                    | 6.84  | 0.029           | 0.043                    | 6.78  | 0.025           | 0.045                    |
| Interpolation | Bilinear              | Depth Image    | 20.90   | 0.852           | 0.016                    | 20.99   | 0.841           | 0.017                    | 20.83   | 0.840           | 0.019                    |
| Interpolation | Bicubic               | Depth Image    | 21.05   | 0.855           | 0.017                    | 21.08   | 0.843           | 0.017                    | 20.90   | 0.842           | 0.019                    |
| Diffusion     | Palette [52]          | Depth Image    | 26.14   | 0.940           | 0.009                    | 24.17   | 0.908           | 0.013                    | 23.15   | 0.888           | 0.017                    |
| Diffusion     | Ours w/o masking loss | Depth Video    | 27.22   | 0.953           | 0.007                    | 25.56   | 0.932           | 0.010                    | 24.86   | 0.922           | 0.011                    |
| Diffusion     | Ours                  | Depth Video    | 27.27   | 0.954           | 0.007                    | 25.59   | 0.932           | 0.010                    | 24.89   | 0.922           | 0.011                    |

Table 4.2: Generative evaluation of the SUSTeck1K dataset with noise masks

• Upsampled results using the proposed model across three angles on SUSTeck1K



• Upsampled results with various attributes using the proposed model on SUSTeck1K



- Comparison between the proposed model and vanilla Palette [Saharia+, CVPR'22]:
  - The proposed model preserves **frame-consistency** more effectively



Frame-inconsistent movements

### **Experiments / Gait Recognition Task**

- Quantitative results:
  - As the noise masks become more severe, the performance gap between the proposed model and the original Palette increases

|               |                       |                | Means (Probe set)                               |                  |                           |   |                      |                   |   |                  |          |
|---------------|-----------------------|----------------|---|------------------|---------------------------|---|----------------------|-------------------|---|------------------|----------|
| Upsampling    |                       |                | $\mathbf{V} \times 1/2,  \mathbf{P} \times 1/6$ |                  |                           | $\mathbf{V} \times 2/3,  \mathbf{P} \times 2/6$ |                      |                   | $\mathbf{V} \times 3/4,  \mathbf{P} \times 3/6$ |                  |          |
| Approach      | Method                | Input Modality | Rank1 $\uparrow$                                | Rank5 $\uparrow$ | $\mathrm{Rank10}\uparrow$ | $\operatorname{Rank1}\uparrow$                  | Rank<br>5 $\uparrow$ | Rank10 $\uparrow$ | $\operatorname{Rank1} \uparrow$                 | Rank5 $\uparrow$ | Rank10 ↑ |
|               |                       |                | 1.40  | 5.85             | 10.13                     | 0.18  | 1.08                 | 2.34              | 0.15  | 0.82             | 1.68     |
| Interpolation | Nearest-neighbor      | Depth Image    | 0.17  | 0.93             | 1.78                      | 0.17  | 0.86                 | 1.67              | 0.16  | 0.78             | 1.54     |
| Interpolation | Bilinear              | Depth Image    | 1.35  | 5.16             | 8.52                      | 0.62  | 2.58                 | 4.86              | 0.44  | 1.96             | 3.72     |
| Interpolation | Bicubic               | Depth Image    | 1.51  | 5.63             | 9.16                      | 0.73  | 3.01                 | 5.37              | 0.52  | 2.20             | 4.08     |
| Diffusion     | Palette [52]          | Depth Image    | 23.62   | 48.69            | 61.07                     | 9.93  | 26.61                | 37.31             | 7.16  | 13.79            | 21.82    |
| Diffusion     | Ours w/o masking loss | Depth Video    | 31.69   | 58.57            | 70.27                     | 18.07   | 40.72                | 53.08             | 11.38   | 29.72            | 41.16    |
| Diffusion     | Ours                  | Depth Video    | 32.49   | 59.77            | 71.28                     | 18.97   | 42.09                | 54.52             | 11.85   | 30.68            | 42.26    |

Table 4.3: Identification Evaluation using a LidarGait on SUSTeck1K dataset with noise masks

## **Experiments / Gait Recognition Task**

• Comparison of the number of function evaluations (NFEs) for the proposed model



## **Experiments / Practicality**

- Quantitative results:
  - Training set: **SUSTeck1K** with noise masks (with **128**-beam LiDAR sensosr)
  - Testing set: our collected dataset (with **32**-beam LiDAR sensosr)

|              | Upsampling               |                        | Overall    |                 |                  |  |
|--------------|--------------------------|------------------------|------------|-----------------|------------------|--|
| Method       | Gallery $(10 \text{ m})$ | Probe $(20 \text{ m})$ | Projection | $Rank1\uparrow$ | Rank5 $\uparrow$ |  |
|              |                          |                        | Spher.     | 5.51            | 25.98            |  |
|              |                          |                        | Ortho.     | 7.07            | 30.80            |  |
| Palette [52] |                          | $\checkmark$           | Ortho.     | 19.57           | 56.25            |  |
|              | $\checkmark$             | $\checkmark$           | Ortho.     | 25.45           | 63.54            |  |
| Ours         |                          | $\checkmark$           | Ortho.     | 21.28           | 60.94            |  |
|              | $\checkmark$             | $\checkmark$           | Ortho.     | 25.97           | 66.82            |  |

Table 4.4: Identification results on the real-world dataset [2].

# **Experiments / Practicality**

• Qualitative results



## **Experiments / Practicality**

• Qualitative results



### **Summary**

- Introduced an upsampling model for LiDAR-based gait sequence data to address a distance-independent inpainting problem
- Demonstrated significant improvements in terms of both generation quality and id entification performance
- Proved effectiveness even for varying sensor resolution or measurement distance i n real-world senarios

### Thank you for your attention!